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Speaker: Chuanqi Zhang

Joint with Gábor Ivanyos, Euan Mendoza, Youming Qiao, and Xiaorui Sun

Centre for Quantum Software and Information  
University of Technology Sydney, Australia

Theory of Computing Seminar at the University of Wisconsin-Madison

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# Group Isomorphism Problem

## Problem (GROUP ISO)

*Given the multiplication tables of two groups of **finite** order  $N$ , determine whether they are isomorphic.*

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# Group Isomorphism vs. Graph Isomorphism

## Similarity

- Both are extensively studied since 1970s.
- Both are neither known to be in P nor to be NP-complete.
- Best algorithms for both problems have a quasi-polynomial running time.

## Differences

	GROUP ISO	GRAPH ISO
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# Through the lens of GROUP ISO testing

- Theoretical computer science: **complexity in the worst case**
- Computational group theory: practical algorithms (as in Magma or GAP)
- Cryptography: protocols based on isomorphism problems

All of these areas can give us good motivation to study GROUP ISO testing :)

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# Recent breakthrough in GROUP ISO of a special class

## Theorem (Sun'23)

Given two  $p$ -groups of class 2 and exponent  $p$  of order  $N$ , there exists an algorithm in time  $N^{\tilde{O}((\log N)^{5/6})}$  to decide whether they are isomorphic.

Why this class of groups?

- The isomorphism testing between  $p$ -groups of class 2 and exponent  $p$  is a major bottleneck for GROUP ISO.

Definition ( $p$ -groups of class 2 and exponent  $p$ )

For prime  $p$ , we say a  $p$ -group  $G$  is of class-2 and exponent  $p$ , if

- every  $g \in G$  satisfies that  $g^p = \text{id}$ , and
  - $[G, [G, G]]$  only contains the identity element.
- The isomorphism testing between  $p$ -groups of class 2 and exponent  $p$  can reduce to the isomorphism testing between two 3-tensors.

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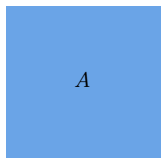
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# Tensor Isomorphism Problem

- Tensors are multi-way arrays, e.g., **2-tensor**  $A = (a_{i,j})_n$  is an  $n \times n$  matrix:



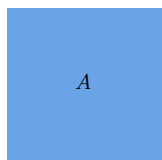
- Similarly, 3-tensors are arrays with 3 indices, like a cube with matrix slices:



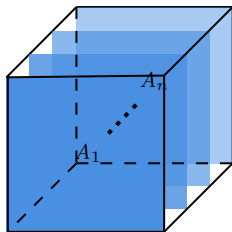
- More generally, we can define  $d$ -tensors, but  $d$ -TENSOR ISO is as hard as 3-TENSOR ISO. [Grochow-Qiao'23]

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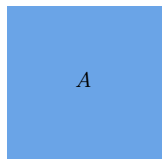
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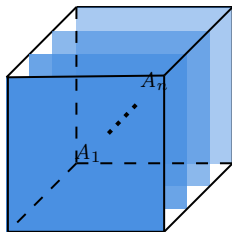
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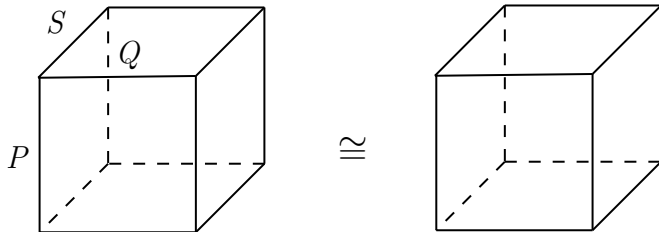
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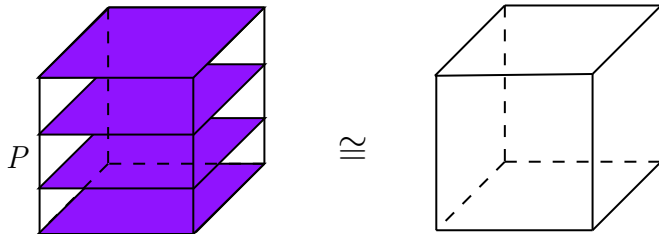
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Isomorphism for 3-tensors under three invertible matrices  $P$ ,  $Q$ , and  $S$ :



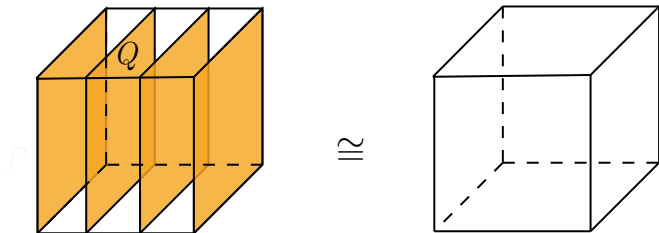
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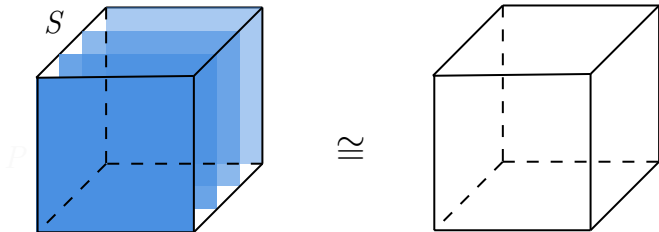
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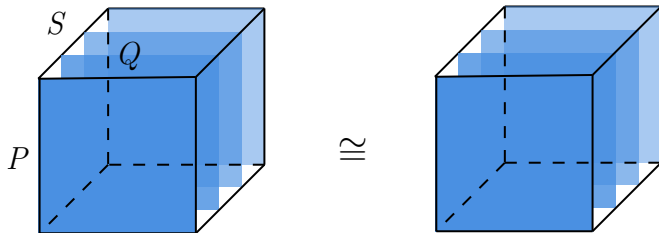
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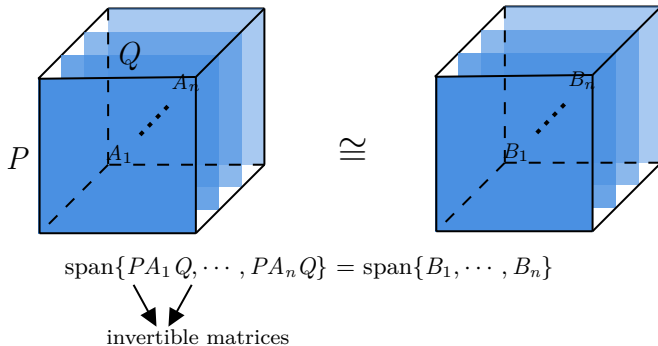
$$\text{span}\{PA_1Q, \dots, PA_nQ\} = \text{span}\{B_1, \dots, B_n\}$$

invertible matrices



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# Tensor Isomorphism Problem

## Definition (Linear span of matrices)

Let  $\{B_i : i \in [n]\}$  be a set of matrices over  $\mathbb{F}_q$ . Then

$$\text{span}\{B_i : i \in [n]\} := \left\{ \sum_{i=1}^n c_i B_i : c_i \in \mathbb{F}_q \right\}.$$

## Problem (Equivalence testing of 3-tensors)

*Given two  $n \times n \times n$  tensors over  $\mathbb{F}_q$  whose frontal slices are  $\{A_i : i \in [n]\}$  and  $\{B_i : i \in [n]\}$ , respectively. Determine if they are equivalent, i.e., if there exist two invertible matrices  $P$  and  $Q$  such that*

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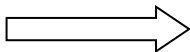


*Theorem (Baker's correspondence)*

*Two  $p$ -groups of class 2 and exponent  $p$  are isomorphic if and only if their associated skew-symmetric 3-tensors over  $\mathbb{F}_p$  are congruent.*

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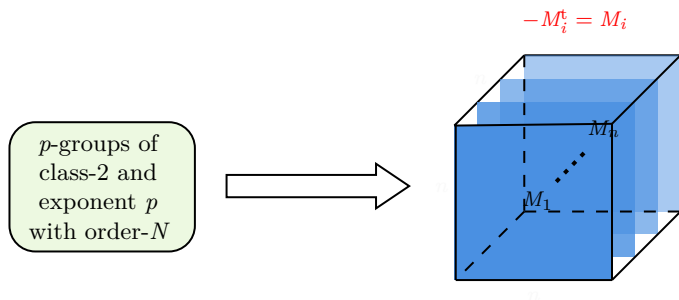
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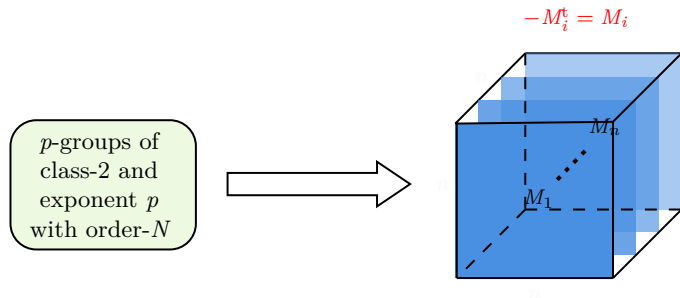
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# From $p$ -GROUP ISO to 3-TENSOR ISO



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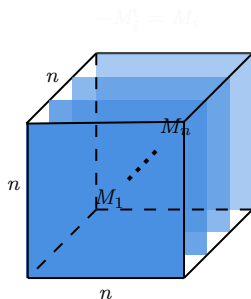
Two  $p$ -groups of class 2 and exponent  $p$  are isomorphic if and only if their associated *skew-symmetric* 3-tensors over  $\mathbb{F}_p$  are congruent.



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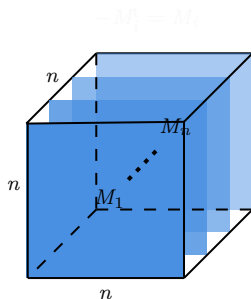
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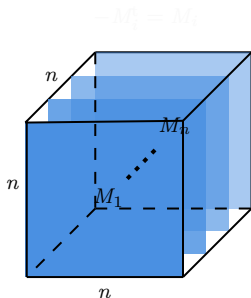
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Our result!



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# Previous work and our main result

- Natural upper bound:  $q^{O(n^2)}$  (known since at least 1970's)
- Sun's breakthrough:  $q^{O(n^{1.5} \cdot \log q)}$  [Sun'23]
- $\vdots$
- Our improvement:



$$\text{span}\{PA_1Q, \dots, PA_nQ\} = \text{span}\{B_1, \dots, B_n\}$$

invertible matrices

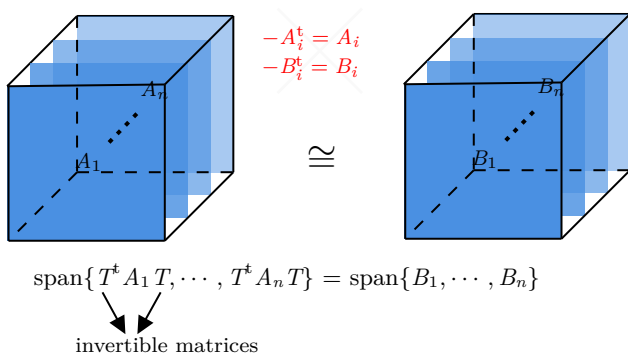
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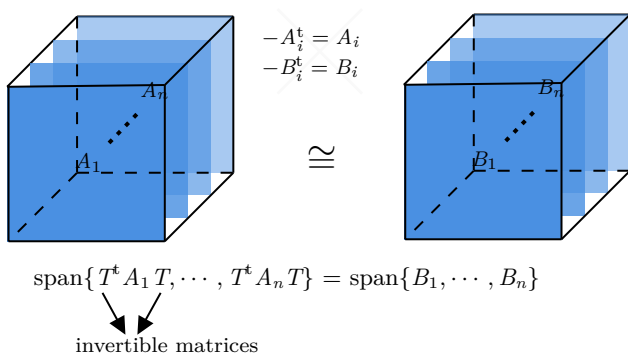
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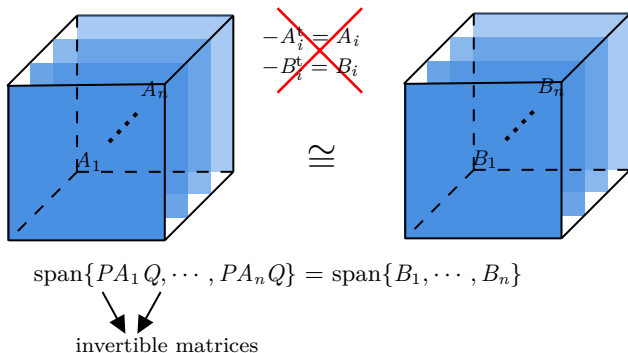
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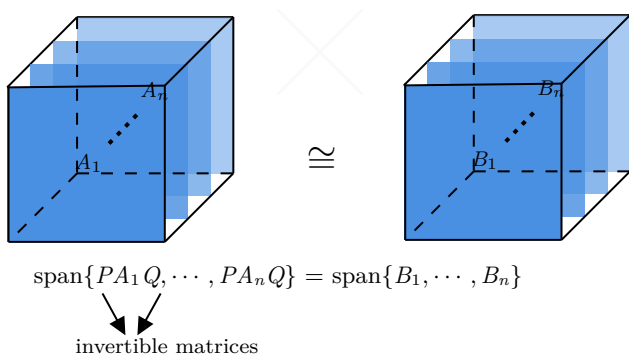
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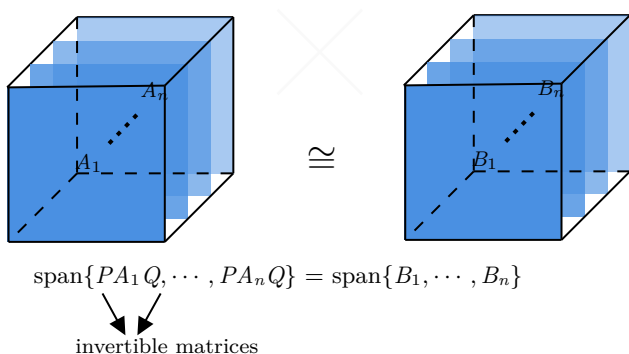
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\*We extend to  **$p$ -groups of Frattini class 2** by the results in [Higman'60, Grochow-Qiao'24].

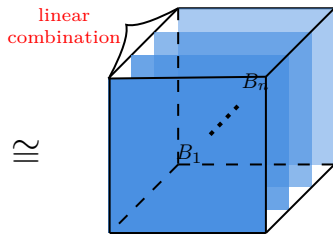
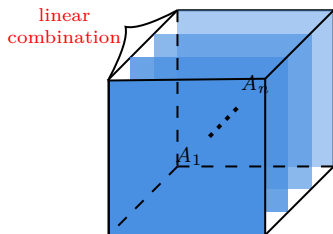
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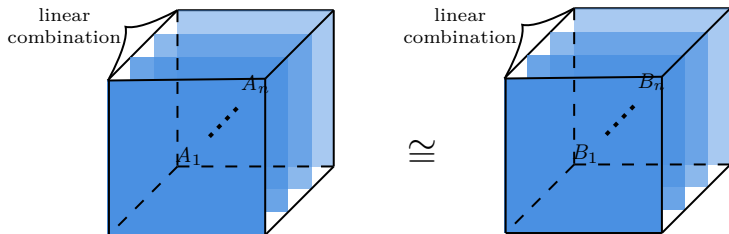
# Overall strategy: from TENSOR ISO to TUPLE ISO



$\cong$

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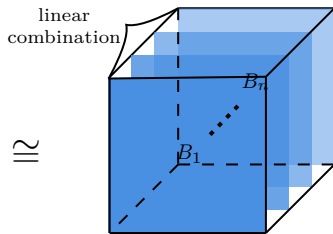
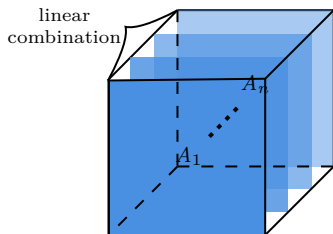
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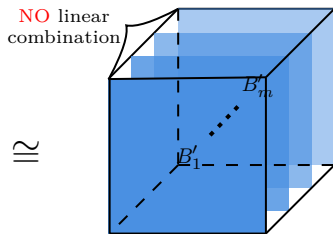
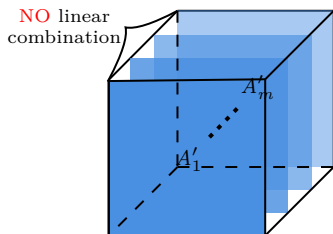
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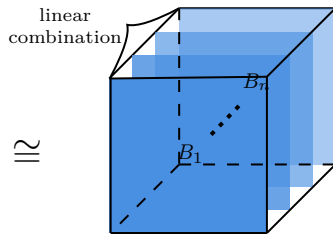
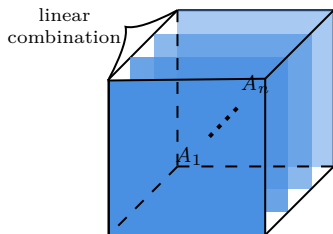
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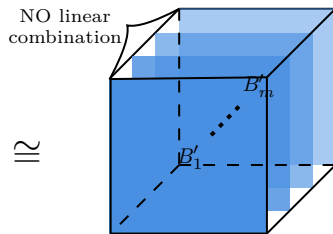
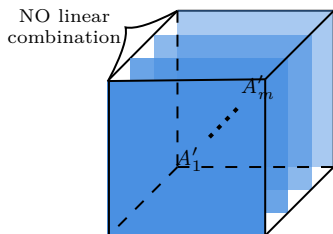
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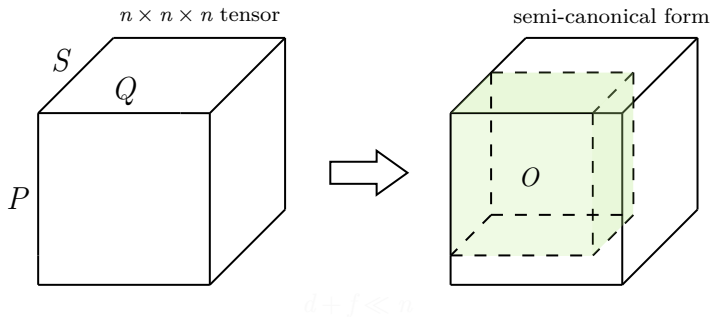
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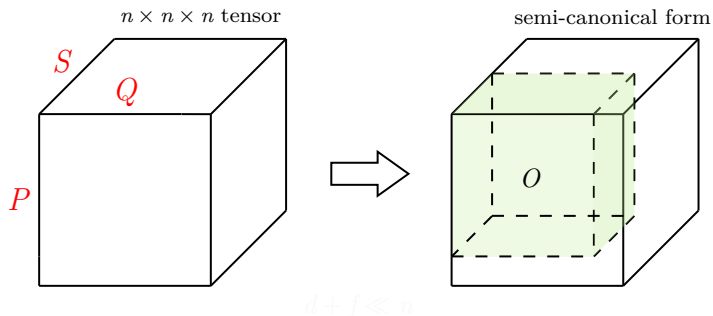
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# Bridge: semi-canonical forms of equivalent tensors



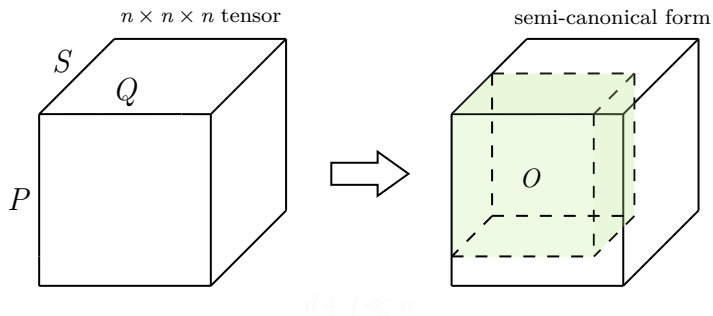
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- We first make the 3-tensors in a semi-canonical form by applying  $P$ ,  $Q$  and  $S$ , and then construct matrix tuples from the semi-canonical 3-tensors.
- The margins are supposed to be small, to reduce the cost of further enumeration of the possible action matrices.
- The margin for the third direction, while can be large, is 'fixed' somehow.

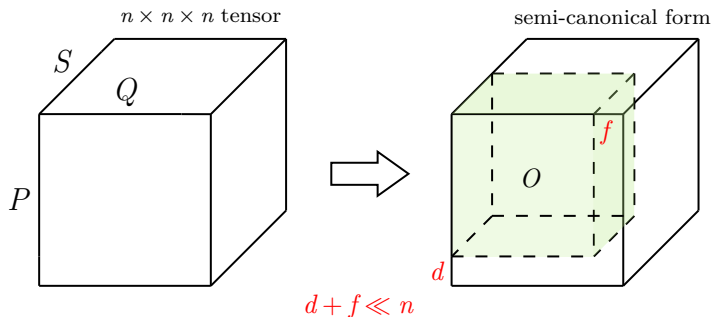


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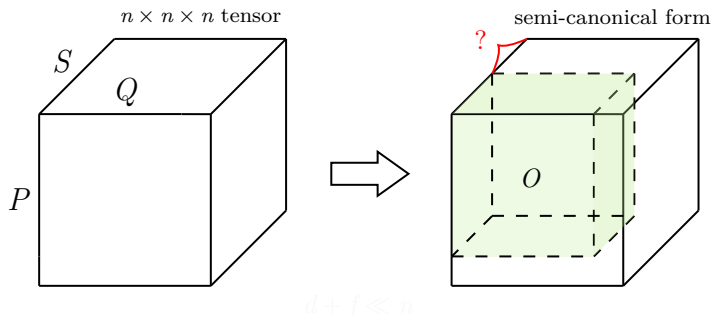
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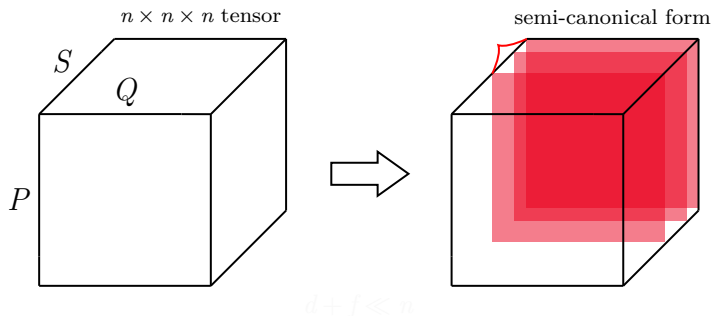
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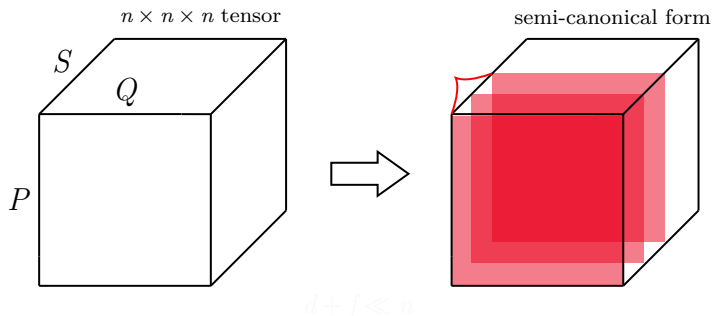
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1. Refinement: fix **rear** slices and leave the frontal to span a low-rank space
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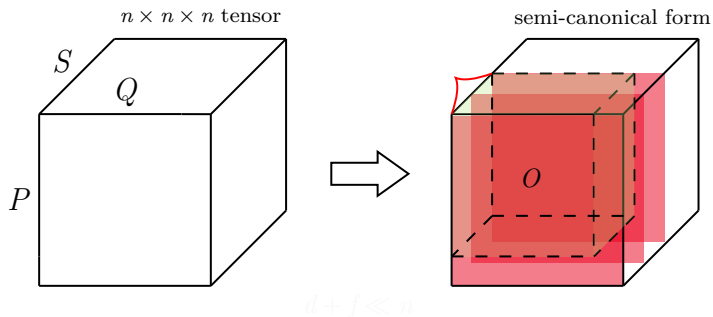
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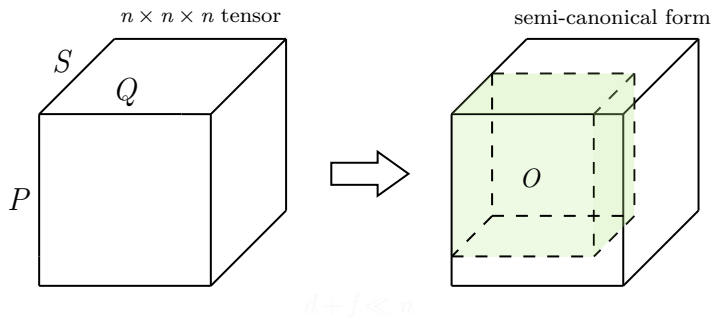


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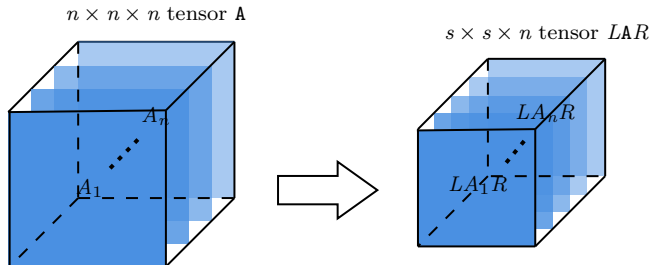


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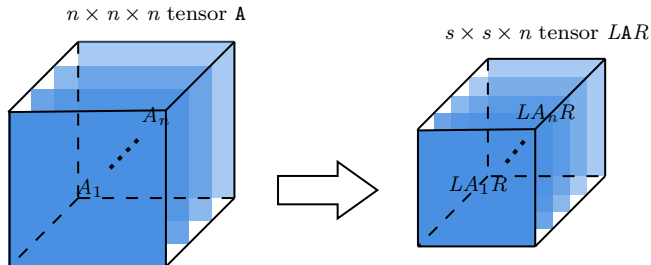
# A special case: canonicalization by compression



- Assume we can apply  $L \in \text{GL}(s \times n, \mathbb{F}_q)$  and  $R \in \text{GL}(n \times s, \mathbb{F}_q)$  such that  $LA_1R, \dots, LA_nR \in \text{M}(s \times s, \mathbb{F}_q)$  are **linearly independent**.
- Then there is a quick algorithm to test the isomorphism between two 3-tensors  $A$  and  $B$ .
- What if  $LAR = 0$  for some non-zero  $A \in \text{span}\{A_i : i \in [n]\}$ ?



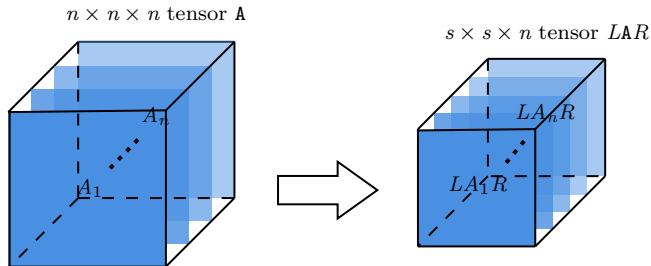
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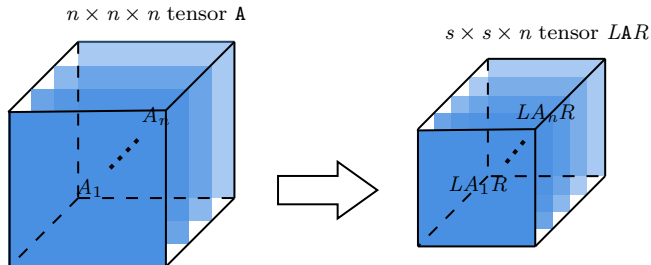
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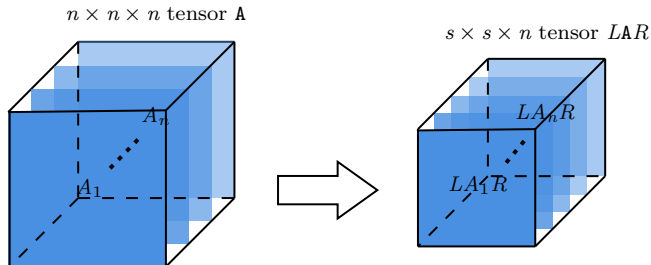
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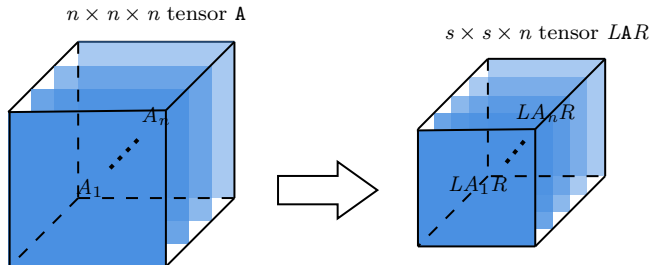
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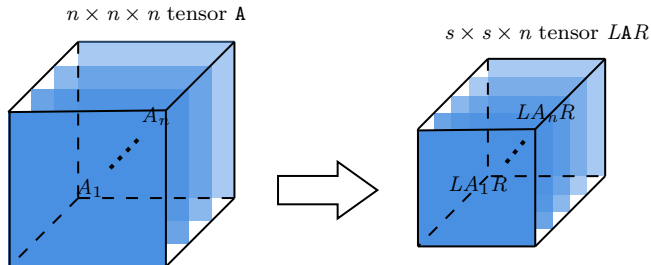
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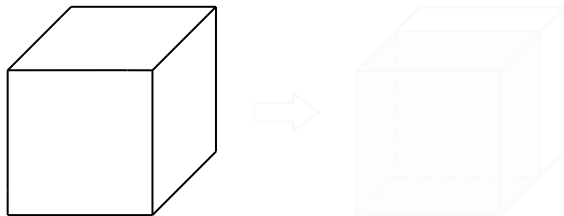
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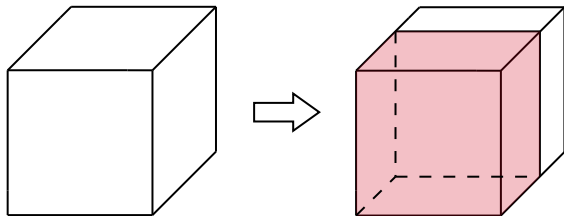
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## Technique 1: refine the frontal slices



- Given a 3-tensor  $\mathbf{A}$  whose frontal slices span  $\mathcal{A} \leq \mathbb{M}(n, \mathbb{F}_q)$ .
- Basic idea: sort the basis matrices (subject to choices of  $L, R$ ) such that
- Advantage:  $\text{Ker}_{L,R}(\mathcal{A})$  is a low-rank subspace with a high probability.

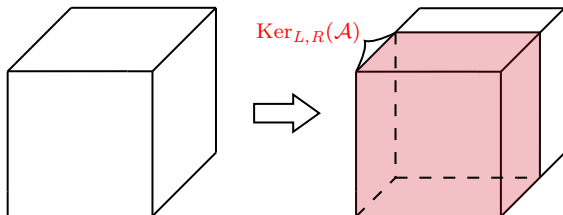
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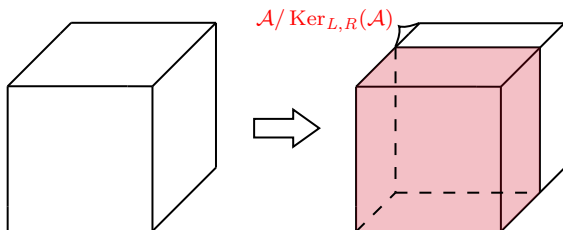


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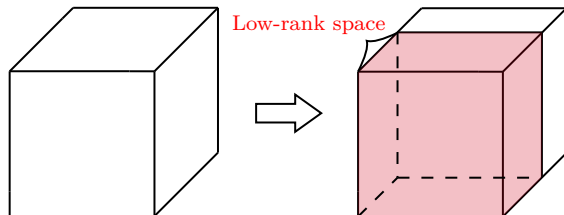
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- Given a 3-tensor  $\mathbf{A}$  whose frontal slices span  $\mathcal{A} \leq \mathbb{M}(n, \mathbb{F}_q)$ .
- Basic idea: sort the basis matrices (subject to choices of  $L, R$ ) such that
  - the first ones span  $\text{Ker}_{L,R}(\mathcal{A}) := \text{span}\{A \in \mathcal{A} \mid LAR = 0\}$ , and
  - the remaining ones form a canonical basis of the quotient space  $\mathcal{A} / \text{Ker}_{L,R}(\mathcal{A})$ .
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## Technique 1: refine the frontal slices



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# Technique 1: refine the frontal slices of a 3-tensor

Advantage:  $\text{Ker}_{L,R}(\mathcal{A})$  is a low-rank subspace with a high probability.

Lemma (Cavazos-Mendoza-Qiao-Sun-Zhang 21)

*Let  $\mathcal{A} \subseteq \mathbb{M}(n, \mathbb{F}_q)$  be a matrix subspace of dimension  $n$ . Then with at least probability of  $1 - \frac{1}{q^r}$ ,  $\text{Ker}_{L,R}(\mathcal{A})$  consists of matrices of rank  $\leq r$  for uniformly randomly sampled  $L \in \mathbb{M}(s \times n, \mathbb{F}_q)$  and  $R \in \mathbb{M}(n \times s, \mathbb{F}_q)$ .*

Why is this an advantage?

## Technique 1: refine the frontal slices of a 3-tensor

Advantage:  $\text{Ker}_{L,R}(\mathcal{A})$  is a low-rank subspace with a high probability.

### Lemma (Ivanyos-Mendoza-Qiao-Sun-Zhang'24)

Let  $\mathcal{A} \leq \text{M}(n, \mathbb{F}_q)$  be a matrix subspace of dimension  $n$ . Fix some  $r \in [n]$ , and let

$$s = \lceil 3 \cdot \max\left\{\frac{n}{r}, r\right\rceil \rceil.$$

Then with at least probability of  $1 - \frac{1}{q^r}$ ,  $\text{Ker}_{L,R}(\mathcal{A})$  consists of matrices of **rank**  $\leq r$  for **uniformly randomly sampled**  $L \in \text{M}(s \times n, \mathbb{F}_q)$  and  $R \in \text{M}(n \times s, \mathbb{F}_q)$ .

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Again, to find  $L', R'$  such that  $\mathbf{B}$  is refined correspondingly to  $\mathbf{A}$ , we still need to enumerate all  $L', R'$  in the same size, which costs  $q^{O(ns)}$ . Why is this an advantage?

## Technique 1: refine the frontal slices of a 3-tensor

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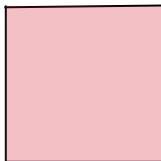
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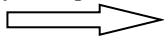


# A trivial case: characterize a low-rank matrix

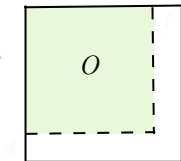
matrix  $A$  of rank- $r$



by left-right actions



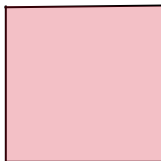
matrix  $LAR$  of rank- $r$



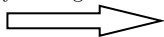
$$d + f = O(r)$$

# A trivial case: characterize a low-rank matrix

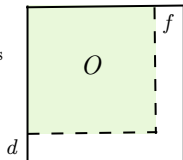
matrix  $A$  of rank- $r$



by left-right actions



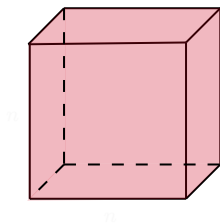
matrix  $LAR$  of rank- $r$



$$d + f = O(r)$$

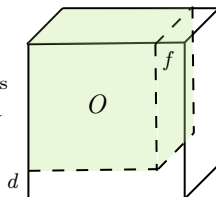
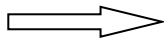
## Technique 2: characterize a low-rank matrix subspace

3-tensor bounded by a low rank  $r$



Equivalent 3-tensor after characterization

by left-right actions



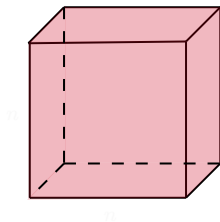
$$d + f = O(r)$$

over field of order  $\geq r + 1$

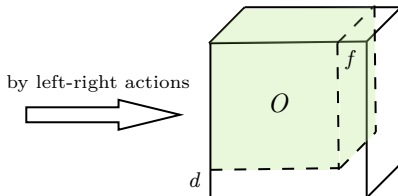
[Flanders'62]

## Technique 2: characterize a low-rank matrix subspace

3-tensor bounded by a low rank  $r$



Equivalent 3-tensor after characterization

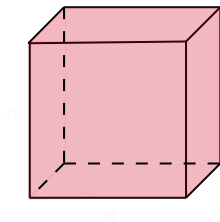


$$d + f = O(r^2)$$

[Sun'23]

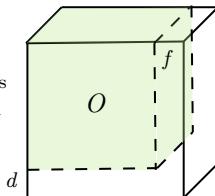
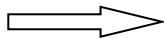
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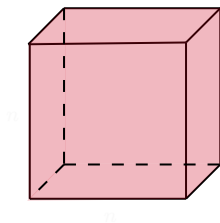


$$d + f = O(r \log r)$$

[Ivanyos-Mendoza-Qiao-Sun-Zhang'24]

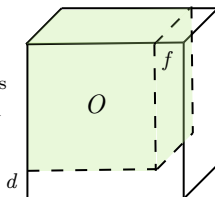
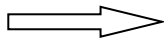
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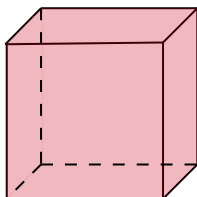
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[Ivanyos-Mendoza-Qiao-Sun-Zhang'24]

- By [Ivanyos-Qiao-Subrahmanyam'18], we can canonicalize the zero block in this case.
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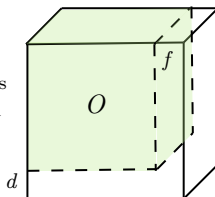
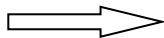
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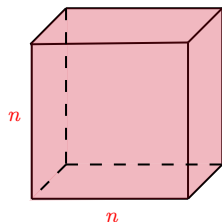
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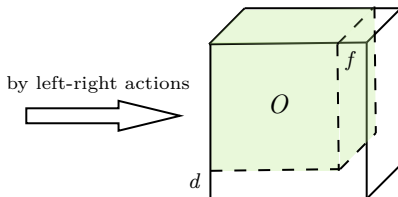
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3-tensor bounded by a low rank  $r$



Equivalent 3-tensor after characterization



$$d + f = O(r \log r)$$

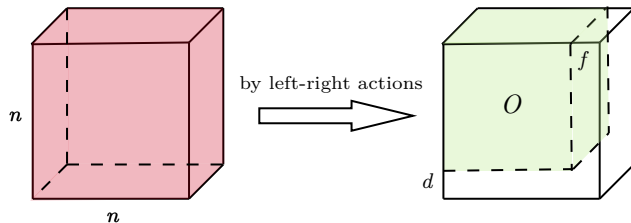
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3-tensor bounded by a low rank  $\sqrt{n}$       Equivalent 3-tensor after characterization



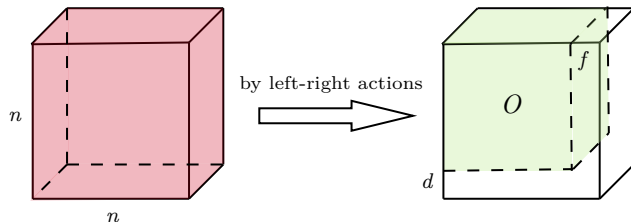
$$d + f = O(\sqrt{n} \log n)$$

[Ivanyos-Mendoza-Qiao-Sun-Zhang'24]

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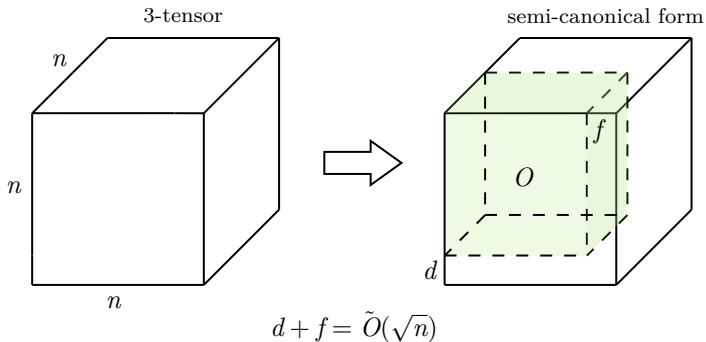


$$d + f = \tilde{O}(\sqrt{n})$$

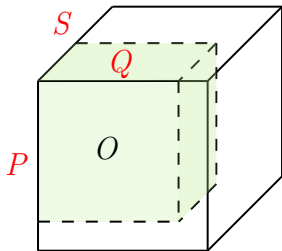
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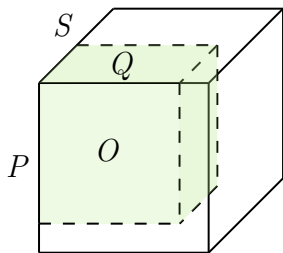
# From semi-canonical 3-tensors to matrix tuples



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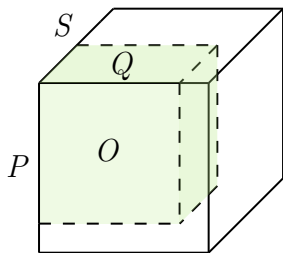
Upon enumeration which costs  $q^{\mathcal{O}(n^3)}$ ,

$$P = \begin{array}{|c|c|} \hline P_1 & P_2 \\ \hline O & P_3 \\ \hline \end{array}$$

$$Q = \begin{array}{|c|c|} \hline Q_1 & Q_2 \\ \hline O & Q_3 \\ \hline \end{array}$$

$$S = \begin{array}{|c|c|} \hline S_1 & S_2 \\ \hline O & I \\ \hline \end{array}$$

# From semi-canonical 3-tensors to matrix tuples



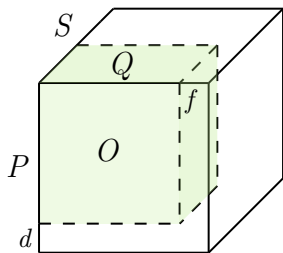
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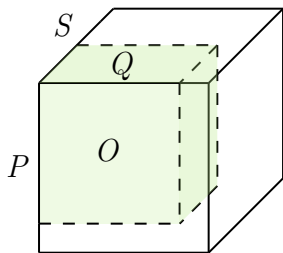
Upon enumeration which costs  $q^{\tilde{O}(n^{1.5})}$ ,

$$P = \begin{array}{|c|c|} \hline P_1 & O \\ \hline O & I_d \\ \hline \end{array}$$

$$Q = \begin{array}{|c|c|} \hline Q_1 & O \\ \hline O & I_f \\ \hline \end{array}$$

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# From semi-canonical 3-tensors to matrix tuples



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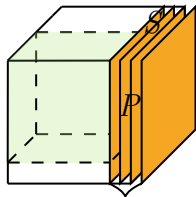
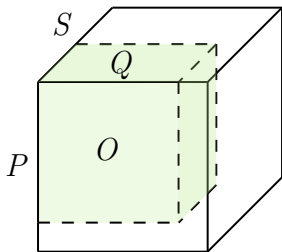
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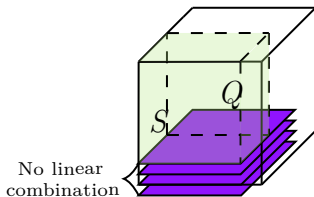
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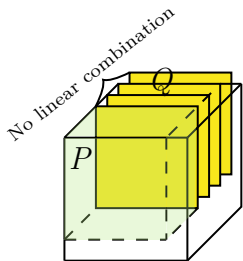
# From semi-canonical 3-tensors to matrix tuples



No linear combination

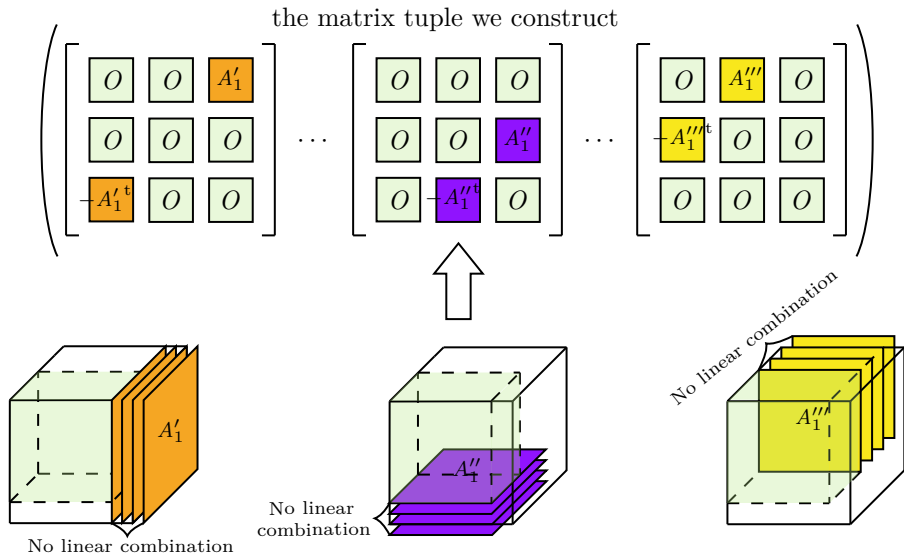


No linear combination



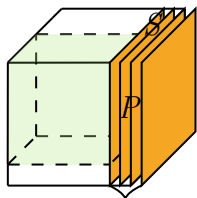
No linear combination

# From semi-canonical 3-tensors to matrix tuples

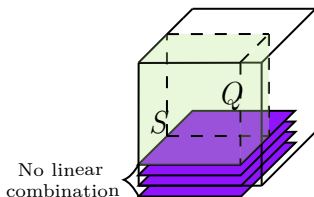


# From semi-canonical 3-tensors to matrix tuples

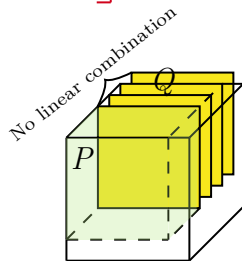
$$\begin{matrix} & P^t & Q^t & S^t \\ \begin{matrix} P \\ Q \\ S \end{matrix} & \begin{bmatrix} \text{O} & \text{yellow} & \text{orange} \\ \text{yellow} & \text{O} & \text{purple} \\ \text{orange} & \text{purple} & \text{O} \end{bmatrix} & T = & \begin{bmatrix} P & & \\ & Q & \\ & & S \end{bmatrix} \end{matrix}$$



No linear combination



No linear combination

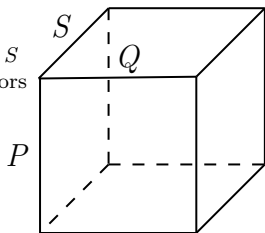


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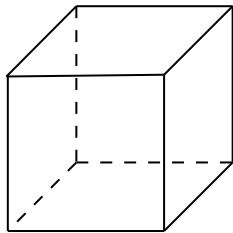
Some colorful slices may be transposed appropriately to match the action matrices.

# From 3-TENSOR ISO to (skew-symmetric) TUPLE ISO

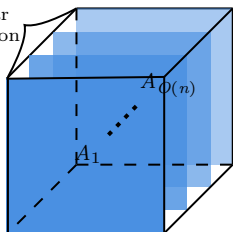
$\exists$  invertible  
matrices  $P, Q, S$   
s.t. two 3-tensors



$\cong$



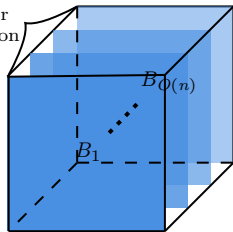
NO linear  
combination



NO linear  
combination

$$\begin{aligned} -A_i^t &= A_i \\ -B_i^t &= B_i \end{aligned}$$

$\cong$



$\exists$  an invertible matrix  $T$  s.t.  $(T^t A_1 T, \dots, T^t A_{\phi(n)} T) = (B_1, \dots, B_{\phi(n)})$

# Wrap-up of all the results

## Theorem (Ivanyos-Qiao'19)

*Given two skew-symmetric matrix tuples over  $\mathbb{F}_q$ , there exists a polynomial-time algorithm that decides whether they are congruent.*

## Theorem (Ivanyos-Mendoza-Qiao-Sun-Zhang'21)

*Given two  $n \times n \times n$  tensors over  $\mathbb{F}_q$ , there exists an algorithm in time  $q^{\tilde{O}(n^{1.5})}$  that decides whether they are equivalent.*

## Theorem (Ivanyos-Mendoza-Qiao-Sun-Zhang'21)

*Given two  $p$ -groups of Frattini class 2 of order  $N$ , there exists an algorithm in time  $N^{\tilde{O}((\log N)^{1/2})}$  to decide whether they are isomorphic.*

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# Further directions

- Can we design a similar algorithm for 4-TENSOR ISO problem?
- Can we design more faster practical algorithms to break isomorphism-based cryptography protocols?
- Beyond isomorphism testing, 3-tensors themselves are intriguing objects.



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- Can we design a similar algorithm for 4-TENSOR ISO problem?
- Can we design more faster practical algorithms to break isomorphism-based cryptography protocols?
  - [Narayanan-Qiao-Tang'24] made a heuristic one running in time  $q^{n/2}$  for the average case of the equivalence testing of 3-tensors.
  - Beyond isomorphism testing, 3-tensors themselves are intriguing objects.

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*Thank you so much!*

Please find the paper and slides available on my webpage:



<https://www.chuanqizhang.com>